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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(h))</i>		Attorney Docket No. 06133.0003.NPUS01
		First Named Inventor or Application Identifier SZOC et al.
Title		System, Method and Apparatus For International Financial Transactions
		Express Mail Label No. .

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231
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1. <input type="checkbox"/> *Fee Transmittal Form (Form PTO-1082) <i>(Submit an original and a duplicate for fee processing)</i>	6. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
[Total Pages 50]	
a. <input type="checkbox"/> Computer Readable Copy	
b. <input type="checkbox"/> Paper Copy (identical to computer copy)	
c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)	[Total Sheets 11]
4. <input checked="" type="checkbox"/> Oath or Declaration	[Total Pages]
5. <input type="checkbox"/> Newly executed (original or copy)	
5. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i>	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation By Reference (<i>useable if Box 4b is checked</i>) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
10. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Two) <i>(should be specifically itemized)</i>	
14. <input type="checkbox"/> *Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
16. <input type="checkbox"/> Other:	

*NOTE FOR ITEMS 1 & 14 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)

of prior application No. **/**

Prior Application Information: Examiner:

Group/Art Unit:

PTO
11040
09/08/2001
123366
04/04/01

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label 22930 <i>(Insert Customer No. or Attach bar code label here)</i>		<input type="checkbox"/> Correspondence address below		
NAME Derek J. Jardieu, Esq. HOWREY & SIMON				
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Name (Print/Type) Derek J. Jardieu	Registration No. (Attorney/Agent) 44,483			
Signature 	Date Apr 4, 2001			

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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April 4, 2001

Box Patent Application

Assistant Commissioner for Patents
Washington, D.C. 20231

J1040 U.S. PTO
09/825366 PRO
04/04/01

Re: U.S. Non-Provisional Patent Application
Appl. No. To Be Assigned; Filed: April 4, 2001
For: **System, Method and Apparatus For International
Financial Transactions**
Inventors: SZOC *et al.*
Our Ref: 06133.0003.NPUS01

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Utility Patent Application Transmittal (PTO/SB/05);
2. U.S. Utility Patent Application entitled:

System, Method and Apparatus For International Financial Transactions

and naming as inventors:

Ronald Z. Szoc, Ph.D.; Radomir Zamurovic; Jim Lesure and Jeremy Shanahan

the application consisting of:

- a. A specification containing:
 - (i) 43 pages of description prior to the claims;
 - (ii) 6 pages of claims (27 claims); and
 - (iii) a one (1) page abstract; and
- b. 11 sheets of drawings: (Figures 1-3, 4A, 4B, 5A, 5B, 5C and 6-8); and

Assistant Commissioner for Patents
April 4, 2001
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3. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

Derek J. Gardin

Derek J. Jardieu (Reg. No. 44,483)

Enclosures

and the *U.S. News & World Report* has named the school one of the top 100 in the nation.